JC03 Rec'd PCT/PTO 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

DESIGNATED/ELECTED OFFICE (DO/EO/US) NREL 03-11 NREL 03-11						
	NG A SUBMISSIO	U.S. APPLICATION NO. (513 WINS 37 FR'1.5)				
INTERNATIONAL AF PCT/US04/118		INTERNATIONAL FILING DATE 16 April 2004	PRIORITY DATE CLAIMED 18 April 2003			
TITLE OF INVENTION OXYGEN-RESISTANT HYDROGENASES AND METHODS FOR DESIGNING AND MAKING SAME						
APPLICANT(S) FOR DO/EO/US						
PAUL KING, MARIA L. GHIRARDI AND MICHAEL SEIBERT Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4. The US has	The US has been elected (Article 31).					
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
a. is attached hereto (required only if not communicated by the International Bureau).						
b. 🔲	b. has been communicated by the International Bureau.					
c. 🔀	c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
a. is attached hereto.						
ъ. Ц	has been previously submi	tted under 35 U.S.C. 154(d)(4).				
7. Amendm	ents to the claims of the inte	rnational Application under PCT Article 19 (35 U.S.C. 371 (c)(3))			
a. are attached hereto (required only if not communicated by the International Bureau).						
ъ. 🔲	b. have been communicated by the International Bureau.					
с. Ц	have not been made; how	vever, the time limit for making such amendr	nents has NOT expired.			
d. 🖂	have not been made and	will not be made.				
8. An Engli	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. An oath	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10. An Englis	sh language translation of the (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	Examination Report under PCT			
Items I I to 20 below concern document(s) or information included:						
11. An Inform	nation Disclosure Statement	t under 37 CFR 1.97 and 1.98.				
12. An assig	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. A prelimi	A preliminary amendment.					
14. An Appli	An Application Data Sheet under 37 CFR 1.76.					
15. A substit	A substitute specification.					
16. A power	A power of attorney and/or change of address letter.					
17. A compu	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18. A second	d copy of the published Inter	national Application under 35 U.S.C. 154(d)	0(4).			
19. A second	i copy of the English langua	ge translation of the international application	n under 35 U.S.C. 154(d)(4).			

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

20. L

Other items or information:

JC09 Rec'd PCT/PTO 13 OCT 2009

TO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 CFR 1.5) PCT/US04/11830 NREL 03-11 Applicant use Office use only 21. The following fees are submitted: \$ 300.00 200.00 500.00 c) Search fee......\$500.00 \$ 1000.00 **TOTAL OF ABOVE CALCULATIONS =** Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra sheets thereof (round up to a whole number) /50 = - 100 = x \$250.00 **\$** 0 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(e)). NUMBER FILED **CLAIMS NUMBER EXTRA** RATE \$ - 20 = × \$50.00 Total claims 18 0 \$ 2 Independent claims -3= x \$200.00 \$ 400.00 5 + \$360.00 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) **TOTAL OF ABOVE CALCULATIONS =** \$ 1400.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced 700.00 \$ 700.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** 700.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED 740.00 Amount to be refunded: Amount to be charged to cover the above fees is enclosed. A check in the amount of \$ _ Please charge my Deposit Account No. 14-0460 in the amount of \$ 740.00 to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. $\frac{14-0460}{1}$ A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.1 37(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Paul J. White NREL PAUL J. WHITE 1617 Cole Blvd. NAME

Page 2 of 2

30,436

REGISTRATION NUMBER

Golden, CO 80401

JC09 Rec'd PCT/PTO 13 OCT 2005,

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Paul King et al.)
) Art Unit: Not Yet Accorded
Serial No.:	Not Yet Accorded)
) Examiner: Not Yet Accorded
Filing Date:	Not Yet Accorded)
)
Title: Oxyge	en-Resistant Hydrogenases and) Atty Dkt. No.: NREL 03-11
	ods for Designing and Making Same)

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

Express Mail Mailing Label No. EL 927021729 US

Date of Deposit October 13, 2005

I hereby certify that the following documents:

- (1) Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 USC 371 [2 pages]
- (2) Information Disclosure Statement
- (3) Declaration of Inventorship [2 pages]
- (4) Recordation Form Cover Sheet + Assignment [3 pages]
- (5) Postcard Receipt

are being deposited in the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Patent Application, Alexandria, VA 22313-1450.

Brenda E. Brantley

Senior Patent Administrator

National Renewable Energy Laboratory 1617 Cole Blvd. Golden, CO 80401 303/384-7576 Fax No. 303/384-7499